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PCT

PTO/SB/21 (09/04)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

25

Application Number

10/500,769

Filing Date

July 6, 2004

First Named Inventor

Pillariseti, Sivaram

Art Unit

Unknown

Examiner Name

Unknown

Attorney Docket Number

R18631 1070.2

**ENCLOSURES (Check all that apply)**

Fee Transmittal Form



Fee Attached



Amendment/Reply



After Final



Affidavits/declaration(s)



Extension of Time Request



Express Abandonment Request



Information Disclosure Statement



Certified Copy of Priority Document(s)

Reply to Missing Parts/  
Incomplete ApplicationReply to Missing Parts  
under 37 CFR 1.52 or 1.53

Drawing(s)



Licensing-related Papers



Petition

Petition to Convert to a  
Provisional Application

Power of Attorney, Revocation



Change of Correspondence Address



Terminal Disclaimer



Request for Refund



CD, Number of CD(s) \_\_\_\_\_

☐ Landscape Table on CD

After Allowance Communication to TC

Appeal Communication to Board  
of Appeals and InterferencesAppeal Communication to TC  
(Appeal Notice, Brief, Reply Brief)

Proprietary Information



Status Letter

Other Enclosure(s) (please identify  
below):PTO/SB/08B (1 pg.); Certification Under 37  
C.F.R. 1.97(e) (1 pg.) Copies of cited  
references 1-2; Copy of International Search  
Report; Return Postcard

Remarks

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm Name

Womble Carlyle Sandridge &amp; Rice, PLLC

Signature

Printed name

Jeffery B. Arnold

Date

1/28/05

Reg. No.

39,540

**CERTIFICATE OF TRANSMISSION/MAILING**

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature

Typed or printed name

Jo M. Jones

Date

01/28/05

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



**PATENTS**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

<b>In re application of:</b>	)	
<b>PILLARISETTI et al.</b>	)	<b>Examiner: Unknown</b>
	)	
<b>Serial No: 10/500,769</b>	)	<b>Art Unit: Unknown</b>
	)	
<b>International Filing Date: January 31, 2003</b>	)	<b>Atty. Docket No.: R18631 1070.2</b>
	)	
<b>For: METHODS AND COMPOSITIONS</b>	)	
<b>FOR IDENTIFICATION AND</b>	)	
<b>THERAPEUTIC USE OF GENES</b>	)	
<b>INVOLVED IN VASCULAR AND</b>	)	
<b>PROLIFERATIVE DISEASES</b>	)	

**FIRST SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

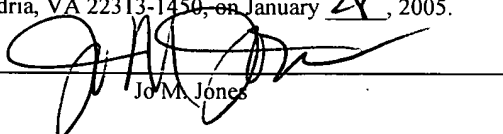
Sir:

The citation of information on the attached Form PTO/SB/08B, "Information Disclosure Statement By Applicant" is made pursuant to 37 C.F.R. §§ 1.97 and 1.98. Pursuant to 37 C.F.R. §1.98, a copy of each cited item is enclosed. Additionally, Applicants enclose a copy of the International Search Report (Form PCT/ISA/210) for PCT/US03/02940.

Copies of the references listed on the attached Form PTO/SB/08B are being submitted at this seemingly late date because they were only recently brought to our attention as a result of foreign prosecution; a certification, as specified in paragraph (e) of 37 CFR 1.97, is enclosed herewith.

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I hereby certify that this correspondence is being deposited with the United States Postal Service in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on January 28, 2005.

  
Jo M. Jones

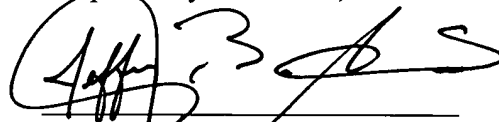
The Examiner is specifically requested not to rely solely on the information submitted herein. On the contrary, the Examiner is requested to conduct an independent and thorough review of the information, and to form independent opinions as to their significance.

It is respectfully requested that the Examiner initial and return copies of the enclosed Form PTO/SB/08B and to indicate in the official file wrapper of the above-identified patent application that each item of the cited information has been considered.

The citation of this information does not constitute an admission that any of the materials are available as a reference or of priority, or a waiver of any right applicant may have under applicable statutes, Rules of Practice in patent cases, or otherwise.

Pursuant to 37 C.F.R. §1.97(b)(3) or 37 C.F.R. §1.97 (c)(1), Applicants respectfully assert that no fee is due. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to deposit account no. 09-0528.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Jeffery B. Arnold", is written over a horizontal line.

Jeffery B. Arnold  
Reg. No. 39,540

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(404) 879-2933 (Facsimile)

Docket No.: R18631 1070.2 (51880.0058.6)

**PATENTS**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

<b>In re application of:</b>	)	
<b>PILLARISSETTI et al.</b>	)	<b>Examiner: Unknown</b>
	)	
<b>Serial No: 10/500,769</b>	)	<b>Art Unit: Unknown</b>
	)	
<b>International Filing Date: January 31, 2003</b>	)	<b>Atty. Docket No.: R18631 1070.2</b>
	)	
<b>For: METHODS AND COMPOSITIONS FOR</b>	)	
<b>IDENTIFICATION AND THERAPEUTIC</b>	)	
<b>USE OF GENES INVOLVED IN</b>	)	
<b>VASCULAR AND PROLIFERATIVE DISEASES</b>	)	

**37 CFR 1.97(e) CERTIFICATION**


Commissioner for Patents  
P.O. Box 1450  
Arlington, VA 22313-1450

Sir:

This certification is being submitted, in accordance with 37 CFR 1.97(e), with the Supplemental Information Disclosure Statement enclosed herewith. Each reference cited by the Supplemental Information Disclosure Statement was cited in a communication from a foreign patent office regarding a related foreign application. The communication from the foreign patent office was not received more than three months prior to the submittal of this certification.

Respectfully submitted,

1/28/05  
Date

  
Jeffery B. Arnold  
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Docket No.: R18631 1070.2

Substitute for Form 1449/A/PTO

(use as many sheets as necessary)

<b>Application Number</b>	<b>10/500,769</b>
<b>Filing Date</b>	<b>July 6, 2004</b>
<b>First Named Inventor</b>	<b>Pillarisetti et al.</b>
<b>Group Art Unit</b>	<b>Unknown</b>
<b>Examiner Name</b>	<b>Unknown</b>
<b>Attorney Docket No.</b>	<b>R18631 1070.2</b>

[illegible]

Examiner Signature		Date Considered	
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PTO/SB/08B (08-00)